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PTO/SB/21 (05-03)

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		Application Number	10/698,160
		Filing Date	October 30, 2003
TRANSMITTAL	-	First Named Inventor	YU, DE CHAO
FORM		Group Art Unit	1645
(to be used for all correspondence after ini	tial filing)	Examiner Name	
Total Number of Pages in This Submissi	on 5 + refs.	Attorney Docket Number	CELL-023
Total Name of Eggs		ES (check all that apply)	
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Documents Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assign (for an Drawin Licens Petitio Petitio Provis Power Chang Addres Termin Reque	ment Papers Application) ag(s) ing-related Papers n n to Convert to a ional Application of Attorney, Revocation ie of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1. Form PTO/SB/08A 2. Cited references 3. Postcard
SIGNA	TURE OF APPL	ICANT, ATTORNEY, OR	RAGENT
Signing Attorney/Agent (Reg. No.) PAMELA J. SHERV BOZICEVIC, FIELD	VOOD, 36,677 8 FRANCIS LLP		
Signature Juliu La			
Date June 17, 2004			

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INFORMATION DISCLOSURE STATEMENT

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attorney Docket	CELL-023
First Named Inventor	YU, DE CHAO
Application Number	10/698,160
Confirmation No.	9850
Filing Date	October 30, 2003
Group Art Unit	1645
Examiner Name	
Title: "CELL-SPECI	FIC ADENOVIRUS VECTOR

Title: "CELL-SPECIFIC ADENOVIRUS VECTOR COMPRISING EBV-SPECIFIC PROMOTER"

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C.§102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. CELL-023 may be charged thereon.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: _____ June 17, 2004

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400 Facsimile: (650) 327-3231

Pamela J. Sherwood Registration No. 36,677

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Substitute	e for form 1449A/PTO	N		Co	omplete if Known
				Application Number	10/698,160
	INFORMATION DISCL	.OS	URE	Filing Date	October 30, 2003
	STATEMENT BY APP	LIC	ANT	First Named Inventor	YU, DE-CHAO
İ				Art Unit	1645
	(use as many sheets as nec	essar	v)	Examiner Name	
Sheet	1	of	3	Attorney Docket Number	CELL-023

	U.S. PATENT DOCUMENTS							
Examiner Initials'	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		20010006633	July 5. 2001	KIRN				
		20010053768	December 20, 2001	GREGORY et al.				
		20030026789	February 6, 2003	GREGORY et al.				
		20030068307	April 10, 2003	YU et al.				
		20030104625	June 5, 2003	CHENG et al.				
		20040009588	January 15, 2004	CHANG et al.				
		5.998.205	December 7, 1999	HALLENBECK_et				
		6.432.700	August 13, 2002	HENDERSON et				
		6.551,587	April 22, 2003	HALLENBECK et				
		6,638,762	October 28, 2003	CHANG et al.				
		6.692.736	February 17, 2004	YU et al.				

		FOREIC	IN PATENT DOCUM	ENTS		
	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials'	No.'	Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵
		WO 01/36650	25 May 2001	ONYX	<u> </u>	<u> </u>
		WO 01/72341	4 Oct. 2001	CALYDON	<u> </u>	<u> </u>
		WO 01/73093	4 Oct. 2001	CALYDON		
	<u> </u>	WO 02/067861	6 Sept. 2002	NOVARTIS		ļ
		WO 92/03563	5 Mar. 1992	CENTRAAL		
		WO 96/17053	6 June 1996	GENETIC		
		WO 96/34969	7 Nov. 1996	CANJI		
		WO 98/29555	9 July 1998	ONYX		<u>L</u>
	<u> </u>	WO 98/39464	11 Sept. 1998	CALYDON		<u> </u>
	ļ	WO 99/25860	27 May 1999	NOVARITIS		1
	<u> </u>	WO 99/59604	25 Nov. 1999	ONYX		
		WO 99/28469	10 June 1999	GENZYME		1

Examiner	 Date		
Signature	Considered	<u> </u>	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute f	ios form 14	19A/PTO TRAILEDO	<u> </u>	•	С	omplete if Known	
Substitute	01 101111 142	ISAFTO			Application Number	10/698,160	
INFORMATION DISCLOSURE			RE	Filing Date	October 30, 2003		
		MENT BY APPL			First Named Inventor	YU. DE-CHAO	
	• –				Art Unit	1645	
	(use a	s many sheets as nece	essary)		Examiner Name		
Sheet		2 ·	of	3	Attorney Docket Number	CELL-023	
		OTHE	R PRIC	OR ART—N	ON PATENT LITERATURE	DOCUMENTS	
Examiner Initials*	Cite No.1			zine, journal, se	CAPITAL LETTERS), title of the articleral, symposium, catalog, etc.), date, isher, city and/or country where public	page(s), volume-issue number(s),	T ²
						ON AND EPSTEIN-BARR 001, 75(6): 2929-2937	
			OF N	IASOPHAF	RYNGEAL CARCINOMA (C ADENOVIRUS FOR THE (NPC), Proc. Amer. Ass. For	
	CHIOCCA et al., ONCOLYTIC VIRUSES, Nature Publishing Group, 2002, 2: 938-951						
		FENG et al., USE OF ADENOVIRUS VECTORS EXPRESSING EPSTEIN-BARR VIRUS (EBV) IMMEDIATE-EARLY PROTEIN BZLF1 OR BRLF1 TO TREAT EBV-POSITIVE TUMORS, J Virol., 2002, 76(21):10951-10959					
					TRATION OF EPSTEIN- SITES, Cancer Res., 20	BARR VIRUS IN 002, 1:62(17):4876-4878	
					D GENE THERAPY FOR 2002, 62: 171-178	NASOPHARYNGEAL	
	REISMAN et al., TRANS ACTIVATION OF AN EPSTEIN-BARR VIRAL TRANSCRIPTIONAL ENHANCER BY THE EPSTEIN-BARR VIRAL NUCLEAR ANTIGEN 1, Molecular and Cell. Biol., 1986, 6(11): 3838-3846						
	SADLER et al., THE EPSTEIN-BARR VIRUS 3.5-KILOBASE LATENT MEMBRANE PROTEIN 1 MRNA INITIATES FROM A TATA-LESS PROMOTER WITHIN THE FIRST TERMINAL REPEAT, J. of Virol., 1995, 69(7): 4577-4581						
		AND EBNA2-	-INĎE	PENDÉNT		BOTH EBNA2-DEPENDENT EPSTEIN-BARR VIRUS LMP1 1376	

			 _
Examiner		Date	
Signature	 	Considered	

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Complete if Known TEGIENS' Substitute for form 1449A/PTO 10/698,160 **Application Number** INFORMATION DISCLOSURE October 30, 2003 Filing Date STATEMENT BY APPLICANT YU, DE-CHAO First Named Inventor Art Unit 1645 Examiner Name (use as many sheets as necessary) 3 Attorney Docket Number **CELL-023** Sheet OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite T² item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), No Initials* nublisher city and/or country where nublished TSAI et al., ADDITIVE EFFECT OF SP1 AND SP3 IN REGULATION OF THE ED-L1E PROMOTER OF THE EBV LMP 1 GENE IN HUMAN EPITHELIAL CELLS, Virology, 1999, 261: 288-294 YU et al., SELECTIVELY REPLICATING ONCOLYTIC ADENOVIRUSES AS CANCER THERAPEUTICS, Curr. Opinion in Mol. Ther., 2002, 4(5): 435-443 WAEHLER et al., EXPERIMENTAL GENE THERAPY OF HEPATOCELLULAR CARCINOMA: EXPRESSION OF IL-12, 4-1BBL AND IL-2 FROM A SINGLE ADENOVIRAL VECTOR, 10TH Annual ESGT meeting, Oct. 13-16, 2002

Examiner 1		Date	•
Signature	·	Considered	

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DATE: June 17, 2004

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<u>Date of Deposit:</u> 06/17/2004 I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature

Date

Atty. Docket No.	Serial Number	Description	Atty.	Fee
LIFE-070DIV	10/663,217	Transmittal, Fee Transmittal in duplicate, IDS, SVB08A, (7) Cited References	SCT	
UCAL-082CON	09/938,853	PTOL-85 in duplicate	KYB	\$1,630
AGYT-041	10/764,292	Transmittal, Fee Transmittal in duplicate, Revocation/POA, Copy of Notice TO file Missing Parts, Executed Declaration	PJS	\$65
UCSF-129CON	10/795,002	Transmittal, IDS, SB08A, (1) Cited Reference	JSK	
UCSF-129DIV	10/794,144	Transmittal, IDS, SB08A, (1) Cited Reference	JSK	
RIGL-013	10/142,648	Restriction Election	JSK	
RIGL-002CON	09/651,150	Amendment	JSK	
LIFE-040	09/988,494	Request for RCE Transmittal, Fee Transmittal in duplicate, Petition for a 1 Month Extension of Time, Executed Declaration, IDS, SB08A, Copy of (1) cited Reference	SCT	\$880
AGYT-035CIP	10/112,667	Transmittal, Request for a 1 Month Extension of Time in duplicate, Amendment, (10) Exhibits Express Mail No. EV333997445US	PJS	\$55
STAN-203CIP	10/779,090	Transmittal, IDS, SB08Å, Copies of 1449's & PTO-892's from Parent, (9) Cited References	PAB	
SMAR-018DIV	10/666,005	Transmittal, Certified Copy of CA 2,332,201	BEF	
CELL-023	10/698,160	Transmittal, IDS, SB08A, (24) Cited References	PJS'	
LIFE-080CON	10/278,170	Transmittal, Fee Transmittal in duplicate, Terminal Disclaimer, Amendment After Final Rejection	CML	\$110